



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Avinash C. Saxena  
Serial No: 09/731,365  
Date Filed: December 6, 2000  
Examiner: Samuel G. Rimell  
Group Art Unit: 2175  
Title: METHOD AND SYSTEM FOR  
ADAPTIVE PREFETCHING

Honorable  
Assistant Commissioner for Patents  
Washington, D.C. 20231-9999

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. 1.10 on the date shown below, and addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.	
Name:	<u>Willie Jiles</u>
	Willie Jiles
Date:	<u>January 8, 2003</u>

EV193175971US

**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. Copies of the references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§ 1.98(g) and (h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the above-cited application.

I hereby certify that the items contained in this Information Disclosure Statement were first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement, therefore, Applicant does not believe any fee is due. However, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

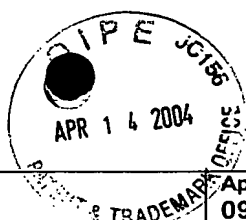
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PTO-1449		Application No. 09/731,365		Applicant(s) SAXENA, Avinash C.			
Information Disclosure Citation in an Application		Docket Number 066241.0109		Group Art Unit 2175		Filing Date December 6, 2000	
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	6,085,193	07/04/2000	Malkin, et al.	707	10	09/29/1997
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
	L						
	M						
	N						
	O						
	P						
	Q						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	R	WO 98/53410	11/26/1998	PCT	G06F	17/30	
	S						
	T						
NON-PATENT DOCUMENTS							
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
	U	PCT International Search Report mailed December 11, 2002 re PCT/US 01/48103 filed December 6, 2001 by Epicrealm Operating Inc. (7 pages)					12/11/2002
	V						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

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Name of Inventor(s): <u>SAXENA, A. C.</u>		<b>Receipt Date &amp; Serial No:</b>  
Title of Invention: <u>M/S for Adaptive Prefetching</u>		
Client or Applicant: <u>EpicRealm Inc.</u>	BB File No.: <u>066241.0109</u>	
Mailed: <u>01/08/03</u> Due: _____ Atty/Secy: <u>MAT/eag</u>		
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